

Asian Network Workshop for Prevention of Illegal Transboundary Movement of Hazardous Wastes 2021

10-11 November 2021



Update on intersessional work towards the face –to–face segment of the fifteenth meeting of the Conference of the Parties to the Basel Convention (COP-15) – An overview

Ms. Juliette Voinov Kohler
Secretariat of the Basel, Rotterdam and Stockholm Conventions

A large container ship is shown from a low angle, heavily laden with multi-colored shipping containers (blue, orange, red, green, yellow). The ship's superstructure, including the bridge and various antennas, is visible at the top. A semi-transparent circular graphic is overlaid on the left side of the image, containing the text 'Strategic issues'. The background consists of a dark, overcast sky and a distant, low-lying shoreline.

Strategic issues

Strategic framework

- Secretariat and SIWG entrusted to prepare the report on the final evaluation of the strategic framework for the implementation of the BC for 2012-2021 (Decision BC-14/1)
- Draft report considered by OEWG-12 part I (1-3 September 2020) which invited comments thereon by 30 September 2020
- Revised report prepared for COP-15 consideration
- Comments invited on the report and on views as to whether to develop a future strategic framework or effectiveness evaluation by 1 December 2021
- COP-15 to consider final report including recommendations (document UNEP/CHW.15/INF/5, translated executive summary available in document UNEP/CHW.15/3/Add.1)



Addressing the entry into force of the Ban Amendment

- Entry into force 5 December 2019
- Current status – 100 Parties, 16 within the Asia Pacific region



The screenshot shows the website for the Basel Convention, specifically the page for the Ban Amendment. The page title is "Amendment to the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal". The page includes a navigation menu with options like HOME, THE CONVENTION, PROCEDURES, IMPLEMENTATION, COUNTRIES, and PARTNERS. A sidebar on the left lists "Status of Ratifications" with sub-links for "Parties & Signatories", "Ban Amendment", "Plastic Waste amendments", and "The Protocol". The main content area features a world map and text stating: "Click on the map located in the left to view an interactive world map showing the current status of ratifications, or scroll down to see the information in a table format." Below the map, key dates and statistics are listed: "Date of Adoption: 22/9/1995", "Date of Entry into Force: 5/12/2019", "Place of Adoption: Geneva", and "Number of Parties: 100". A table at the bottom is partially visible with columns for "Participant", "Signature, Succession to Signature (d)", "Ratification, Acceptance (A), Approval (AA), Accession (a)", and "Date of effect".

- Secretariat requested to continue to assist Parties, subject to availability of resources and upon request (decision BC-14/2)



Development of guidelines for ESM

- Secretariat requested to finalise the draft practical manual for stakeholders to ensure that notifications of transboundary movements meet ESM requirements which was welcomed by COP-14 (Decision BC-14/3)
 - Revised draft submitted taking into account comments received
 - COP-15 expected to adopt (UNEP/CHW.15/5/Add.1)
- Secretariat requested to complete the work to update the toolkit on ESM to reflect information drawn from the pilot projects carried out in accordance with the work programme of the expert working group
 - Pilot project results analysed, updates made to ESM Toolkit. Toolkit to be re-packaged to enhance user experience



A wide-angle photograph of a vast field of plastic waste, including bottles, bags, and other debris, stretching towards a horizon under a dramatic sunset sky with orange and yellow light. A large, semi-transparent white circle is overlaid on the right side of the image, containing the text.

Scientific and technical matters

Technical guidelines

- **POPs wastes (Decision BC-14/4)**
 - SIWG met and revised the technical guidelines
 - 3 TGs are to go to COP-15 for consideration and possible adoption (documents UNEP/CHW.15/6/Add.1, UNEP/CHW.15/6/Add.2, UNEP/CHW.15/6/Add.3)
 - Further discussions may take place between now and the face-to-face segment of COP-15 on low POP content values



Technical guidelines (cont.)

- **D5 and D10 (including R1) (Decision BC-14/6)**
 - SIWG met and revised the technical guidelines
 - TGs are to go to COP-15 for consideration and possible adoption (documents UNEP/CHW.15/6/Add.4, UNEP/CHW.15/6/Add.5)



Technical guidelines (cont.)

- **Plastic waste (Decision BC-14/13)**

- SIWG met and revised the technical guidelines
- Revised draft updated TG will be prepared for COP-15 consideration (INF document will be available early in 2022)

- **E-waste (Decision BC-14/5)**

- The Expert Working Group did not agree on revising the technical guidelines and are proposing different options for COP-15 consideration (document UNEP/CHW.15/6)
 - Option 1: EWG disbanded and invitation to test and use the guidelines
 - Option 2: EWG mandated to prepared revised guidelines



Technical guidelines (cont.)

- **Mercury waste (Decision BC-14/8)**
 - SIWG met and revised the technical guidelines
 - TGs are to go to COP-15 for consideration and possible adoption (documents UNEP/CHW.15/6/Add.6)

- **Technical guidelines on hazardous waste physico-chemical treatment (D9) and biological treatment (D8) and on the ESM of waste lead-acid batteries (Decisions BC-14/7 and BC-14/20)**
 - OEWG-12 invited Parties and observers to submit their views about the update of these two sets of guidelines
 - D9/D8 – no comments were received
 - WLAB – several comments and support were received for the update of the guidelines



Classification and hazard characterization of wastes

- Decision BC-14/9 and document UNEP/CHW.15/7
- Amendments to Harmonized System Explanatory Notes with respect to new heading on electrical and electronic waste and scrap (effective January 2022) finalized by WCO
- Proposal on amendment to the Harmonized Commodity Description and Coding System (HS) on waste oils containing PCBs at a concentration level of 50 mg/kg or more submitted to WCO and under review.
- Proposal on amendment to the HS on plastic waste under preparation and planned for submission early 2022



National reporting

- Decision BC-14/10
- Draft practical Guidance on the development of an inventory of plastic waste, waste batteries containing lithium, and obsolete pesticides and pesticide-container wastes (UNEP/CHW/OEWG.12/INF/15, UNEP/CHW/OEWG.12/INF/16 and UNEP/CHW/OEWG.12/INF/17, respectively) under revision and to be considered by COP15 (documents UNEP/CHW.15/8, UNEP/CHW.15/INF/19, UNEP/CHW.15/INF/50 and UNEP/CHW.15/INF/51)
- Reporting Dashboard available for visualization of aggregated data from national reports
(<http://www.basel.int/ReportingDashboard/tabid/8105/Default.aspx>)



Electronic approaches to the notification and movement documents

- Decision BC-14/11 and document UNEP/CHW.15/9
- First consultative workshop with experts in this field took place in January 2021
<http://www.basel.int/Implementation/Controllingtransboundarymovements/eapproachesfornotificationandmovement/Meetings/WorkshopOnlineJan2021/tabid/8741/Default.aspx>
- COP-15 draft decision suggests the establishment of an SIWG to carry-out the work and define the next steps
 - For e.g. prepare recommendations for Parties, incentivize pilot project in the area



Further consideration of plastic waste

- Decision BC-14/13: Further actions to address plastic waste under the Basel Convention
 - Included in the work programme of OEWG to consider:
 - Whether, how and when the COP should assess the effectiveness of the measures taken under the Convention to address the plastic waste
 - Which further activities could possibly be conducted under the Convention
 - Invited Parties and others to submit information on cured resins and fluorinated polymers referred to in entry Y48 in Annex II and entry B3011 in Annex IX
- COP-15 to consider documents UNEP/CHW.15/10; UNEP/CHW.15/INF/10; UNEP/CHW.15/INF/12



Waste containing nanomaterials

- Decision BC-14/14 and document UNEP/CHW.15/11
- COP-15 to consider any information provided by Parties and by one observer related to activities aimed at addressing issues related to waste containing nanomaterials- deadline for submission: 31 December 2021



Legal, compliance and governance matters



Committee Administering the Mechanism for Promoting Implementation and Compliance

- Decision BC-14/15
- ICC-14 part IV (15-18 November 2021), part V *tbc*
- Members from Asia and Pacific (Bahrain, Iran, Saudi Arabia)
- **Specific submissions:** 16 ongoing submissions (Burkina Faso, CAR, Chad, Cook Islands, DPRK, Equatorial Guinea, Gabon, Liberia, Mauritania, Nauru, Niger, Saint Vincent and Grenadines, Sudan, Syrian Arab Republic, Togo and Turkmenistan) with 12 to be considered during ICC-14 part IV
- **General issues:** finalization of guidance on transit TBM
- **COP-15** to consider adopting (UNEP/CHW.15/12 and add.1 and add.2, and documents UNEP/CHW.15/INF/13, UNEP/CHW.15/INF/14, UNEP/CHW.15/INF/16 and UNEP/CHW.15/INF/17):
 - recommendations on reporting (classification of performance, integration in sustainable cooperation frameworks), national legislation, illegal traffic (dialogue with MEAs),
 - Control system: guidance on insurance, bond and guarantee and guidance on transit TBM (documents UNEP/CHW.15/12/Add.1 and Add.2)
 - POW for 2022-2023.
- **COP-15** to elect 5 new members (1 per UN region)



Providing further legal clarity

- Decision BC-14/16 and BC-14/13
- EWG on the review of Annexes I, III, IV, entries A1180 and B1110
- Members from Asia and Pacific: China, India, Japan, Lebanon, State of Palestine, Jordan, Iran
- **Recommendations** for possible amendments proposals to Annex IV and the e-waste entries in Annexes VIII (A1180) and IX (B1110) and draft recommendations for possible amendment proposals to Annexes I and III
- Information exchanged on the **amendment proposals** 1) by EU regarding Annex IV; 2) Switzerland and Ghana regarding e-waste entries with new proposed entry in Annex II
- Views from Parties on the amendment proposals invited by 1 December 2021 (www.basel.int/TheConvention/Amendments/Proposedamendments/tabid/7906/Default.aspx)
- **COP-15** to consider:
 - status of work of the EWG, mandate of EWG, way forward to COP-16 (documents UNEP/CHW.15/13, UNEP/CHW.15/INF/18, UNEP/CHW.15/INF/19, and UNEP/CHW.15/INF/21) and,
 - for possible adoption, the two amendment proposals (documents UNEP/CHW.15/13/Add.1, UNEP/CHW.15/INF/24 and UNEP/CHW.15/INF/25; and documents UNEP/CHW.15/13/Add.2, UNEP/CHW.15/INF/22, UNEP/CHW.15/INF/23)



National legislation, notifications, enforcement of the Convention and efforts to combat illegal traffic

- Decision BC-14/17
- Definition of 'State of transit' – information collected from Parties through questionnaires and national reports, documents for OEWG-12 (UNEP/CHW/OEWG.12/11; UNEP/CHW/OEWG.12/INF/19), further considerations and draft guidance within the context of ICC work programme
- Updates to export/import control tool, thanks to funding from Norway, to reflect updates to the national reporting format
- COP-15 to consider update in document UNEP/CHW.15/14 and provide continued mandate for collection of information and provision of advice/assistance



Proposal by the Russian Federation to amend paragraph 2 of Article 6 of the Convention

- Proposal by the Russian Federation to amend paragraph 2 of Article 6 of the Basel Convention (30 days deadline for the State of import to respond to the notifier in writing)
- Views from Parties on the amendment proposals invited by 1 December 2021 (www.basel.int/TheConvention/Amendments/Proposedamendments/tabid/7906/Default.aspx)
- COP-15 to consider for possible adoption the amendment proposal (documents UNEP/CHW.15/15, UNEP/CHW.15/INF/26, UNEP/CHW.15/INF/27)



Technical assistance



Technical assistance:

National legal frameworks, controlling TBM, preventing and combatting illegal traffic

- 2021 – Online Workshop organized for Central Asia and Eastern Europe (19-20 October), National projects including for Central Asia
- 2022 – Series of Online workshops planned for Asia and Pacific regions , in cooperation with SPREP (January – February)

Thanks to funding from the EU

- National Joint SBC/WCO/UNODC Training in Sri Lanka to Promote Effective Control of Transboundary Movements of Plastic Waste and Build Capacity to Prevent Cases of Illegal Traffic

Technical assistance: activities and project on plastic waste



Norad



Handelens
Miljøfond

Workshops, trainings and projects on
legal frameworks, TBM and illegal traffic

Further actions to address
plastic waste - BRS-Norad-2
(global + SGP on Plastic Waste
pilot projects)

Strengthening knowledge
and capacity in Malawi and
Zimbabwe

Plastic waste in
remote and
mountainous areas
(global + pilot
countries)

Marine litter and
microplastics -
BRS-Norad-1
(Ghana, Sri Lanka)

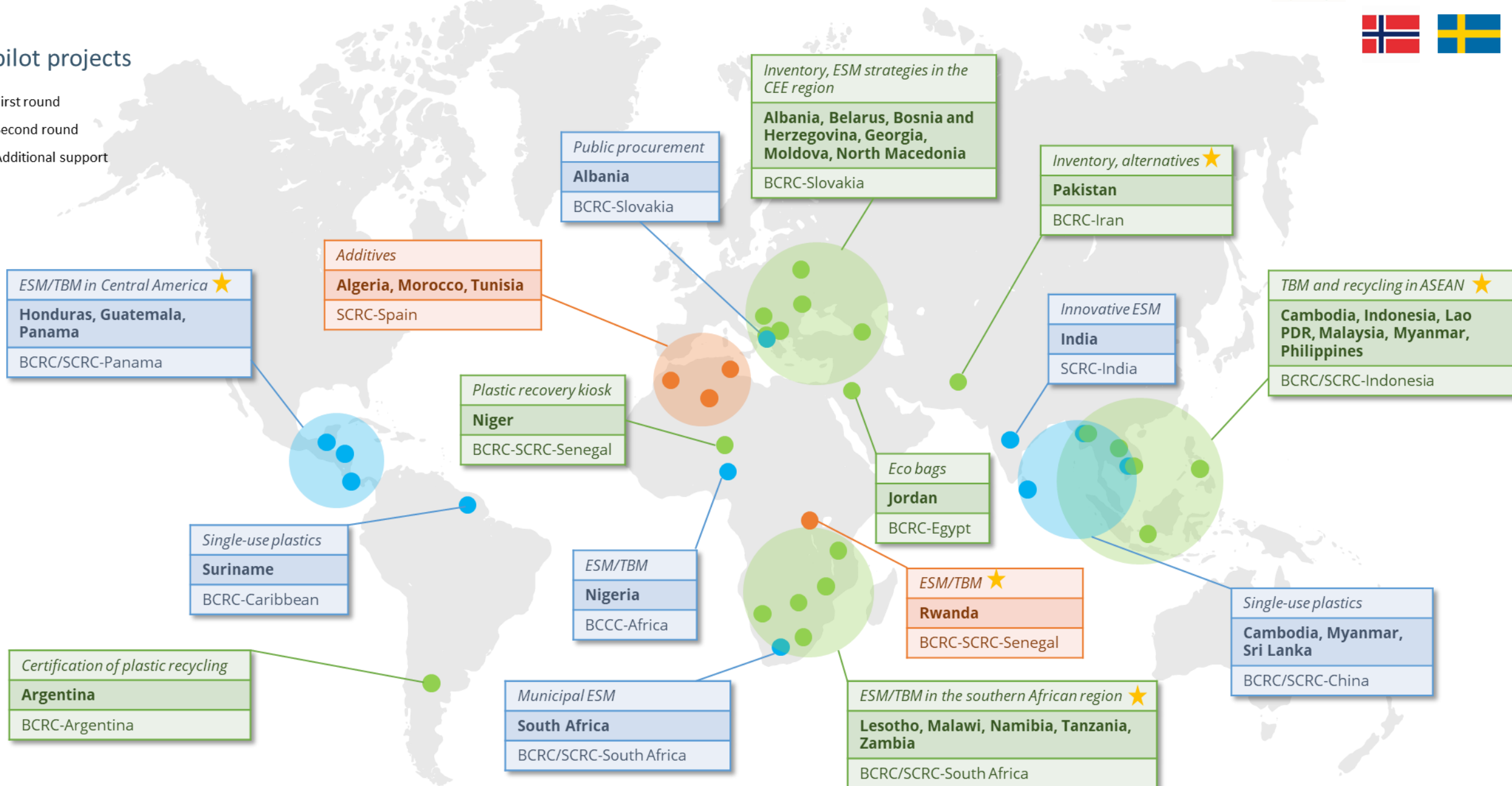


B A S E L
C O N V E N T I O N



16 pilot projects

- First round
- Second round
- Additional support





Basel Convention Partnership Programme

Household Waste Partnership

- Decision BC-14/19
- Household Waste Partnership working group requested to prepare complete draft of overall guidance document on the environmentally sound management of household waste, taking into account comments received intersessionally
- Revised draft prepared to be submitted for COP consideration and possible adoption (document UNEP/CHW.15/18/Add.1)
- Update on further work of HHWP working group to be provided to COP (document UNEP/CHW.15/18)



Follow-up partnership to the PACE

- Decision BC-14/19
- Revised TORs and POW for 2020-2021 developed after the online session of OEWG-12
- Three project groups established to implement the POW (dissemination activities, pilot projects and new e-waste types to be potentially included in the scope of the partnership)
- Six pilot projects on ESM collection and recycling of mobile phones and computing equipment and on policies for e-waste funded in EE (1), Asia (2), GULAC(1), Africa (2), fundraising ongoing for other projects.
- Dissemination activities ongoing: workshops and online presentations, participation in public events
- OEWG-12 (face-to-face segment) anticipated to consider adopting revised ToRs and 2020-2021 POW
- COP-15 to consider including new- e-waste types in the ToRs and adopting POW 2022-2023 (documents document UNEP/CHW.15/18 and UNEP/CHW.15/INF/39)



Plastic Waste Partnership

- Decision BC-14/13
- Plastic Waste Partnership carrying out work in 4 projects groups:
 - Prevention and minimization
 - Plastic waste collection, recycling and other recovery, including financing and related markets
 - Transboundary movements of plastic waste
 - Outreach and awareness raising
- 23 ongoing pilot projects; further call for proposals to be issued shortly
- Other activities include: Plastic waste photo competition, PWP webinars, Forum and other outreach activities planned
- COP-15 to take note of report on PWP progress (document UNEP/CHW.15/18)



ENFORCE

- Decision BC-14/19
- Fifth meeting of the Environmental Network for Optimizing Regulatory Compliance on Illegal Traffic (ENFORCE) held online on 26 and 27 January 2021
- Database on the ENFORCE roadmap of activities:
<http://www.basel.int/Implementation/TechnicalAssistance/Partnerships/ENFORCE/Overview/EnforceRoadMap/tabid/8787/Default.aspx>
- ICC recommendations towards strengthening of ENFORCE to be considered by COP-15 (document UNEP/CHW.15/12)
- COP-15 to elect the representatives of Parties and BCRCs (document UNEP/CHW.15/18)





Forthcoming meetings

Forthcoming meetings

- SIWG on plastic waste technical guidelines to meet in December 2021
- Joint Bureaux meeting (9-10 December 2021)
- OEWG-12 face-to-face segment to be held in April or May 2022 (3-day meeting in Nairobi) – Bureau to decide by end of November on dates
- COP-15 regional preparatory meetings Spring 2022
- COP-15 face-to-face: 6-17 June 2022



Thank you for
your
attention!

For more information please
visit us at:

 @brsmeas

<http://www.brsmeas.org/>

12 RESPONSIBLE
CONSUMPTION
AND PRODUCTION

